

The effectiveness of pursed lips breathing in the management of breathlessness in stable chronic obstructive pulmonary disease

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Abstract

Introduction: This dissertation aims to explore, in a clinical setting, the effectiveness of pursed lips breathing (PLB), in the management of dyspnoea in stable COPD.

Methodology: A mixed methodology that comprised a randomised controlled trial (RCT), a predominantly qualitative follow-up (FU) study and two measurement studies was used. The RCT intervention group was taught PLB at home over 8 weeks. Primary outcome measures were the Self Report Chronic Respiratory Disease Questionnaire (CRQ-SR) dyspnoea and mastery domains and Endurance Shuttle Walk Test (ESWT). The FU study investigated the long-term experience of PLB in a subset of RCT participants through telephone interview, focus group and observation of PLB technique at home visit. Prior to the RCT a study using limits of agreement (LoA) methodology was conducted to investigate reliability of hand-held spirometric measurement of inspiratory capacity (IC) with a view to using it as an outcome measure. Following the RCT a retrospective analysis of data collected from the ESWT was performed comparing a 1-walk protocol with the published 2-walk protocol.

Results: Forty-one patients with COPD were recruited to the RCT (PLB $n = 22$, control $n = 19$); mean age 68 years (SD 11), mean FEV₁% predicted 47% (SD 15.80) and 13 were approached to participate in the FU; 11 of 13 agreed to telephone interview, 5 to attend the focus group and 6 to home visit. The median time since learning PLB was 17 months (6 - 23). The **RCT** found no statistically significant difference between groups in the primary outcome measures and in retrospect was insufficiently powered. *Post hoc* analysis found effect sizes for primary outcome measures were: CRQ-SR dyspnoea 0.05, CRQ-SR mastery 0.48 and ESWT 0.44. For secondary outcome measures the PLB group showed a significant ($p = 0.02$) improvement in oxygen saturation on ESWT. **Long-term follow-up** found 9 of 11 still used PLB, 8 reported definite benefit. Those using PLB used it for breathlessness with four themes identified: use of PLB with physical activity (8/11), to increase confidence and reduce panic (4/11), as an exercise (3/11), at night (3/11). Discontinuation of PLB (2/11) was due to no benefit. **Hand-held spirometric measurement of IC** found LoA for same-day IC measurement in healthy volunteers ($n = 20$) $\pm 0.630L$ (95%CI ± 0.255) and over 3 weeks ($n = 11$) $\pm 0.560L$ (95%CI ± 0.326). In COPD, same day LoA ($n = 26$) were $\pm 0.582L$ (95%CI ± 0.169) and over 6 weeks ($n = 8$) $\pm 0.486L$ (95%CI ± 0.302). **Retrospective analysis of ESWT data** identified that completion rates improved by 17% for the 1-walk protocol but that the ceiling-effect was 12.2% compared to 7.3% for the 2-walk protocol. LoA between protocols when measuring change over time ($n = 31$) was $\pm 80\%$ (95%CI 25.56); less than the difference described as “somewhat better” (113%) following pulmonary rehabilitation (PR) but greater than the m.c.i.d. of 68%.

Conclusions: LoA for IC exceeded the clinically significant reported 0.3L; the protocol tested here was not sufficiently reliable for use as an outcome measure. Analysis of ESWT data showed the 1-walk protocol was adequate for identify change in clinical practice but, for research purposes the 2-walk protocol should be retained. From the RCT learning PLB resulted in reduced physiological stress with respect to oxygen desaturation when performing ESWT compared to the control group. Long-term follow-up showed that, in severe COPD perceived benefits persisted in 62% of patients.

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